# WISCONSIN DEPARTMENT OF SAFETY AND PROFESSIONAL SERVICES



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# STATE OF WISCONSIN BEFORE THE MEDICAL EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

: FINAL DECISION AND ORDER

ANN A. TRAN, M.D., RESPONDENT.

0005092

Division of Legal Services and Compliance Case No. 16 MED 023

The parties to this action for the purpose of Wis. Stat. § 227.53 are:

Ann A. Tran, M.D. Virtual Radiologic 11995 Singletree Lane, Suite 500 Eden Prairie, MN 55344

Wisconsin Medical Examining Board P.O. Box 8366 Madison, WI 53708-8366

Division of Legal Services and Compliance Department of Safety and Professional Services P.O. Box 7190 Madison, WI 53707-7190

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final disposition of this matter, subject to the approval of the Medical Examining Board (Board). The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following Findings of Fact, Conclusions of Law and Order.

### FINDINGS OF FACT

- 1. Respondent Ann A. Tran, M.D. (DOB May 29, 1958), is licensed in the state of Wisconsin to practice medicine and surgery, having license number 47923-20, first issued on March 16, 2005, with registration current through October 31, 2017. Respondent's most recent address on file with the Wisconsin Department of Safety and Professional Services (Department) is Virtual Radiologic, 11995 Singletree Lane, Suite 500, Eden Prairie, Minnesota 55344.
  - 2. Respondent is board certified in diagnostic radiology, and practices teleradiology.

- 3. In February 2009, in Massachusetts, Patient A presented to his primary care physician with complaints of prolonged chest pain. The provider ordered a CT scan of Patient A's chest, which was interpreted by another radiologist on March 5, 2009, as showing interstitial disease.
- 4. Patient A was referred for a high resolution CT scan of his chest, which was remotely interpreted by James H. Turner, III, M.D., on March 19, 2009, also as showing interstitial lung disease.<sup>1</sup>
- 5. Patient A returned to his physician in August 2009 with complaints of an apparent mass in his chest. He was examined by a nurse, who charted her observation of "a large mass in the middle of [Patient A's] chest."
- 6. On August 24, 2009, Respondent remotely interpreted a CT scan of Patient A's thorax. Respondent was also provided with the March 5 and March 19, 2009 studies, and a clinical history of "CT Chest Mass in Mid Chest." Respondent's report does not reference the mass in Patient A's sternum and states her impression as:

Old granulomatous disease and chronic interstitial lung disease. Nodular pleural thickening right middle lobe. Stable findings compared to earlier examination.

- 7. In January 2010, Patient A presented to his physician with ongoing concerns about the growing mass in his chest, and was diagnosed with a solitary plasmacytoma of the sternum.
  - 8. After undergoing radiation treatment, Patient A died on April 25, 2010.
- 9. In the course of investigating this matter, the March 5, March 19 and August 24, 2009 CT studies were reviewed by a board certified radiologist, who indicated that in all three studies a destructive mass was clearly visible. Respondent should have made these findings on August 24, 2009, and recommended biopsy to diagnose or exclude the presence of cancer.
- 10. On November 16, 2016, Respondent successfully completed ten AMA/PRA Category 1 continuing medical education credits on the topic of Emergency Radiology.

#### **CONCLUSIONS OF LAW**

- 1. The Wisconsin Medical Examining Board has jurisdiction to act in this matter pursuant to Wis. Stat. § 448.02(3), and is authorized to enter into the attached Stipulation pursuant to Wis. Stat. § 227.44(5).
- 2. By the conduct described in the Findings of Fact, Respondent Ann A. Tran, M.D., engaged in unprofessional conduct as defined by Wis. Admin. Code § Med 10.02(2)(h) (Nov. 2002) by engaging in conduct which tends to constitute a danger to the health, welfare, or safety of a patient.

<sup>&</sup>lt;sup>1</sup> Dr. Turner was a co-respondent in this matter and stipulated to a final decision and order entered by the Board on October 19, 2016; the radiologist who interpreted the March 5, 2009 CT study is not licensed in Wisconsin.

3. As a result of the above conduct, Ann A. Tran, M.D., is subject to discipline pursuant to Wis. Stat. § 448.02(3).

## **ORDER**

- 1. The attached Stipulation is accepted.
- 2. Respondent Ann A. Tran, M.D., is REPRIMANDED.
- 3. The Wisconsin Medical Examining Board accepts the education described in the Findings of Fact as satisfying the education it would have otherwise ordered.
- 4. Within ninety (90) days from the date of this Order, Ann A. Tran, M.D., shall pay COSTS of this matter in the amount of \$690.00.
- 5. Proof of successful course completion and payment of costs (made payable to the Wisconsin Department of Safety and Professional Services) shall be sent by Respondent to the Department Monitor at the address below:

Department Monitor
Division of Legal Services and Compliance
Department of Safety and Professional Services
P.O. Box 7190, Madison, WI 53707-7190
Telephone (608) 267-3817; Fax (608) 266-2264
DSPSMonitoring@wisconsin.gov

- 6. Violation of any of the terms of this Order may be construed as conduct imperiling public health, safety and welfare and may result in a summary suspension of Respondent's license. The Board in its discretion may in the alternative impose additional conditions and limitations or other additional discipline for a violation of any of the terms of this Order. In the event Respondent fails to timely submit payment of costs as ordered, Respondent's license (no. 47923-20) may, in the discretion of the Board or its designee, be SUSPENDED, without further notice or hearing, until Respondent has complied with payment of costs.
  - 7. This Order is effective on the date of its signing.

WISCONSIN MEDICAL EXAMINING BOARD

by:

Member of the Board

Date

#### STATE OF WISCONSIN BEFORE THE MEDICAL EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

ANN A. TRAN, M.D., RESPONDENT. STIPULATION

0005092

Division of Legal Services and Compliance Case No. 16 MED 023

Respondent Ann Anh Tran, M.D., and the Division of Legal Services and Compliance, Department of Safety and Professional Services stipulate as follows:

- 1. This Stipulation is entered into as a result of a pending investigation by the Division of Legal Services and Compliance. Respondent consents to the resolution of this investigation by Stipulation.
- 2. Respondent understands that by signing this Stipulation, Respondent voluntarily and knowingly waives the following rights:
  - the right to a hearing on the allegations against Respondent, at which time the State has the burden of proving those allegations by a preponderance of the evidence;
  - the right to confront and cross-examine the witnesses against Respondent;
  - the right to call witnesses on Respondent's behalf and to compel their attendance by subpoena;
  - · the right to testify on Respondent's own behalf;
  - the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision;
  - the right to petition for rehearing; and
  - all other applicable rights afforded to Respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, the Wisconsin Administrative Code, and other provisions of state or federal law.
- 3. Respondent is aware of Respondent's right to seek legal representation and has been provided an opportunity to obtain legal counsel before signing this Stipulation. Respondent is represented by Attorney James Friedman.
- 4. Respondent agrees to the adoption of the attached Final Decision and Order by the Wisconsin Medical Examining Board (Board). The parties to the Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.

- If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation, and the matter shall then be returned to the Division of Legal Services and Compliance for further proceedings. In the event that the Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.
- The parties to this Stipulation agree that the attorney or other agent for the Division of Legal Services and Compliance and any member of the Board ever assigned as an advisor in this investigation may appear before the Board in open or closed session, without the presence of Respondent or Respondent's attorney, for purposes of speaking in support of this agreement and answering questions that any member of the Board may have in connection with deliberations on the Stipulation. Additionally, any such advisor may vote on whether the Board should accept this Stipulation and issue the attached Final Decision and Order.
- Respondent is informed that should the Board adopt this Stipulation, the Board's Final Decision and Order is a public record and will be published in accordance with standard Department procedure.
- The Division of Legal Services and Compliance joins Respondent in recommending the Board adopt this Stipulation and issue the attached Final Decision and Order.

Ann Anh	Γrán,	M.D.,	Res	ondent

Virtual Radiologic

11995 Singletree Lane, Suite 500

Eden Prairie, MN 55344 License no. 47923-20

James Friedman, Attorney for Respondent

Godfrey & Kahn, S.C.

Madison, WI 53701-2719

One East Main St. #500

P.O. Box 2719

Joost Kap, Prosecuting Attorney

Department of Safety and Professional Services

Division of Legal Services and Compliance

B6x 7190

Madison, WI 53707-7190

11/15/16

Date